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PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 6632.US.O2

First Inventor or Application Identifier F. Haviv, et. al.

Title N-ALKYLATED PEPTIDES HAVING ANTIANGIOGENIC ACTIVITY

Express Mail Label No. EL384167615US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 93]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
4. ☐ Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☒ Computer Readable Copy
  - b. ☒ Paper Copy (identical to computer copy)
  - c. ☒ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application  
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: Application Cover Sheet

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 60/166,924

Prior application information: Examiner

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Steven F. Weinstock		
	Abbott Laboratories		
Address	Department 377 / AP6D-2		
	100 Abbott Park Road		
City	Abbott Park	State	IL
		Zip Code	60064-6050
Country	USA	Telephone	(947) 937-0042
		Fax	(847) 938-2623

Name (Print/Type)	Gregory W. Steele	Registration No. (Attorney/Agent)	33,796
Signature		Date	10/31/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
 These are the fees effective October 1, 1997.  
 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 1,052.00

## Complete if Known

Application Number (not yet assigned)  
 Filing Date October 31, 2000  
 First Named Inventor F. Haviv, et. al.  
 Examiner Name (not yet assigned)  
 Group / Art Unit (not yet assigned)  
 Attorney Docket No. 6632.US.O2

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 01-0025  
 Deposit Account Name Abbott Laboratories
- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 790 201 395		Utility filing fee	710.00
106 330 206 165		Design filing fee	
107 540 207 270		Plant filing fee	
108 790 208 395		Reissue filing fee	
114 150 214 75		Provisional filing fee	
SUBTOTAL (1)			(\$ 710.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
39	-20** = 19	18.00	342.00
Independent Claims	3 - 3** = 0	80.00	00.00
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 22 203 11		Claims in excess of 20
102 82 202 41		Independent claims in excess of 3
104 270 204 135		Multiple dependent claim, if not paid
109 82 209 41		** Reissue independent claims over original patent
110 22 210 11		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 342.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 950 217 475		Extension for reply within third month	
118 1,510 218 755		Extension for reply within fourth month	
128 2,060 228 1,030		Extension for reply within fifth month	
119 310 219 155		Notice of Appeal	
120 310 220 155		Filing a brief in support of an appeal	
121 270 221 135		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,320 241 660		Petition to revive - unintentional	
142 1,320 242 660		Utility issue fee (or reissue)	
143 450 243 225		Design issue fee	
144 670 244 335		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 790 246 395		Filing a submission after final rejection (37 CFR 1.129(a))	
149 790 249 395		For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

## SUBMITTED BY

Typed or Printed Name Gregory W. Steele

Signature

*Gregory W. Steele*

Date

10/31/00

## Complete (if applicable)

Reg. Number 33,796

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: F. Haviv, *et al.*

Serial No.: (not yet assigned)

Group No.: (not yet assigned)

Filed: October 31, 2000

Examiner: (not yet assigned)

For: N-ALKYLATED PEPTIDES HAVING ANTIANGIOGENIC ACTIVITY

Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL384167615US

Date of Deposit: October 31, 2000

I hereby state that the following *attached* papers or fees

Utility Patent Application Transmittal – 2 pages

Fee Transmittal, 1 pg. (in duplicate)

Application Cover Sheet – 1 pg.

Specification ( 61 pages); Claims ( 28 pages); Abstract (1 page)

Paper copy of Sequence Listing – 3 pgs.

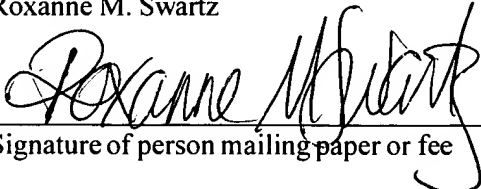
Computer Readable Form Copy of Sequence Listing – 1 disc

Statement to Support filings and Submission in Accordance with 37 CFR 1.821 through 1.825 – 1 pg.

Two Return-Receipt Postcards

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Roxanne M. Swartz

  
Signature of person mailing paper or fee

(Express Mail Certificate)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: F. Haviv, et al.

Serial No.: (not yet assigned)

Filed: October 31, 2000

For: N-ALKYLATED PEPTIDES HAVING  
ANTIANGIOGENIC ACTIVITY

Attorney Docket No.: 6632.US.O2

Group Art Unit: (not yet assigned)

Examiner: (not yet assigned)

Date: October 31, 2000

EXPRESS MAIL NO.: EL384167615US

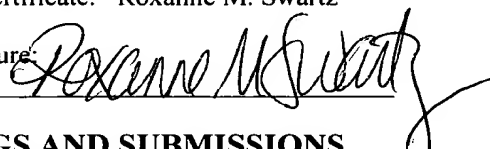
**Certificate of Mailing under 37 CFR §1.10:**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as Express Mail Post Office to Addressee Service with sufficient postage in an envelope addressed to:

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on October 31, 2000

Name of person signing  
this certificate: Roxanne M. Swartz

Signature: 



**STATEMENT TO SUPPORT FILINGS AND SUBMISSIONS**  
**IN ACCORDANCE WITH 37 C.F.R. §§1.821-1.825**

Assistant Commissioner for Patents  
Washington, D.C. 20231


Dear Sir:

The undersigned, being the attorney of record of the above-identified patent application, submits the following statement regarding the Sequence Listing in paper and computer readable form:

I hereby state that the content of the paper and computer readable form copies of the Sequence Listing, submitted herewith, are the same.

Respectfully submitted,  
F. Haviv, et al.

Abbott Laboratories  
D-377/AP6D-2  
100 Abbott Park Road  
Abbott Park, IL 60064-6050  
Telephone: (847) 937-0042  
Facsimile: (847) 938-2623

  
Gregory W. Steele  
Registration No. 33,796  
Attorney for Applicants